



SUBSTITUTE PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/696,047	
	Filing Date	October 29, 2003	
	First Named Inventor	Michael A. DellaVecchia	
	Art Unit	3761	
	Examiner Name		
Total Number of Pages in This Submission	5	Attorney Docket Number	P1145/20005

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form (In duplicate)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	- PTO Form 1449A
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	- Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<input type="checkbox"/> Assignment and Cover Sheet		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd. Frank M. Linguiti, Esq.
Signature	<i>Frank Linguiti</i>
Date	3/22/04

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Frank M. Linguiti, Esq.		
Signature	<i>Frank Linguiti</i>	Date	3/22/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATION**

Applicants: Michael A. DellaVecchia, et al.
Serial No: 10/696,047 Group Art Unit:
Filed: October 29, 2003 Examiner:
Att. Docket No.: P1145/20005 Confirmation No.: 5839

For: DETERMINING IRIS BIOMETRIC AND SPATIAL ORIENTATION OF AN
IRIS IN ACCORDANCE WITH SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the references are prior art with respect to this application.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR § 1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR § 1.114. No certification or fee is required. 37 CFR § 1.97(b).

This application is a U.S. national patent application filed after June 30, 2003 or an international application that has entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, a copy of each cited U.S. patents and/or U.S. patent applications is not attached. 37 CFR 1.491(b).

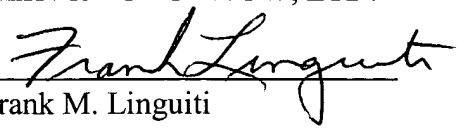
March 22, 2004

Please charge or credit our Account
No. 03-0075 as necessary to effect
entry and/or ensure consideration of
this submission.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,
COHEN & POKOTILOW, LTD.

By


Frank M. Linguiti

Registration No. 32,424

Customer No. 03000

(215) 567-2010

Attorney for Applicants

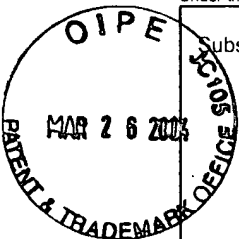
Please type a plus sign (+) inside this box ☐

Substitute for PTO/SB/OBA (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheet as necessary)

Complete if Known

Application Number	10/696,047
Filing Date	October 29, 2003
First Named Inventor	Michael A. DellaVecchia
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	P1145/20005

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		4,641,349		Flom, et al.	02/03/1987
		5,291,560		Daugman	03/01/1994
		5,359,669		Shanley, et al.	10/25/1994
		5,719,950		Osten, et al.	02/17/1998
		5,777,719		Williams, et al.	07/07/1998
		5,912,731		DeLong, et al.	06/15/1999
		5,949,521		William, et al.	09/07/1999
		6,007,204		Fahrenkrug, et al.	12/28/1999
		6,019,472		Koester, et al.	02/01/2000
		6,086,204		Magnante	07/11/2000
		6,095,651		Williams, et al.	08/01/2000
		6,143,011		Hood, et al.	11/07/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (If known)			

OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume:issue number(s), publisher, city and/or country where published.	T

EXAMINER

DATE CONSIDERED

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box ☐

Substitute for PTO/SB/O8A (08-00)
Approved for use through 10/31/2002 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheet as necessary)

Complete if Known

Application Number	10/696,047
Filing Date	October 29, 2003
First Named Inventor	Michael A. DellaVecchia
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	P1145/20005

Sheet 2 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		6,155,684		Bille, et al.	12/05/2000
		6,289,113 B1		McHugh, et al.	09/11/2001
		6,305,803 B2		Sumiya	10/23/2001
		6,377,699 B1		Musgrave, et al.	04/23/2002
		6,526,160		Haruo	02/25/2003
		6,532,298 B1		Cambier, et al.	03/11/2003
		6,539,100		Amir, et al.	03/25/2003
		6,542,624		Oda	04/01/2003
		6,546,121		Oda	04/08/2003
		6,549,118		Seal, et al.	04/15/2003
		6,556,699		Rogers, et al.	04/29/2003
		6,594,377		Kim, et al.	07/15/2003
		6,614,919		Suzaki	09/02/2003

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (If known)			

OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

EXAMINER

DATE CONSIDERED

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box ☐

Substitute for PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheet as necessary)

Complete if Known

Application Number	10/696,047
Filing Date	October 29, 2003
First Named Inventor	Michael A. DellaVecchia
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	P1145/20005

Sheet 3 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		20010026632A1		Tamai	10/04/2000
		20020080256A1		Bates, et al.	12/22/2002
		20030095689A1		Vollkommer, et al.	05/22/2003
		20030120934A1		Ortiz	06/26/2003
		20020057438A1		Decker	05/16/2002
		20020132663A1		Cumbers	09/19/2002
		20030018522A1		Denimarck, et al.	01/23/2003
		20020158750A1		Almalik	10/31/2002

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			

OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

EXAMINER

DATE CONSIDERED

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

